

## ADMINISTRATIVE STAFF PERFORMANCE EVALUATION

#### **SECTION I - EMPLOYEE INFORMATION**

EMPLOYEE NAME	JOB TITLE	EMPLOYEE A#	
SUPERVISOR NAME	VICE PRESIDENT'S NAME	DIVISION	
DEPARTMENT		FROM	ТО
	RATING PERIOD		

### **GENERAL INSTRUCTIONS**

**INSTRUCTIONS TO SUPERVISOR:** Alabama A&M University's performance evaluation period runs each year from July 1 to June 30. As part of the performance evaluation process, you and the employee (together) must review, sign and date the job description that is on file with the Office of Human Resources to ensure that it is accurate and current. Said document must be attached to this performance evaluation. Discuss and review the performance evaluation and job description. Throughout employment, supervisors are encouraged to interact and/or communication periodically with the employee about the job and about his/her performance progress.

**COMPLETING THE PERFORMANCE EVALUATION:** *EMPLOYEES* - Concentrate on one factor at a time, read all ranking specifications for that factor, and use your own independent judgment to determine which ranking best describes your performance. **SUPERVISORS** — Concentrate on one factor at a time, read all ranking specifications for that factor, and use your own independent judgment to determine which ranking best describes the employee's performance and you may provide detailed comments to support each factor ranking. Comments shall be provided for any ranking of 1 (Unsatisfactory) or 2 (Improvement Necessary). Supervisors should pay particular attention to adherence to significant performance standards and accomplishment of essential functions.

Provide an overall rating based on the numerical rating of the individual factors (overall rating equals sum of all ratings). At the end of this evaluation, supervisors are required to provide "agreed upon" goals and objectives for the year, which will help enhance the employee's performance. If additional space is needed, please attach a separate page. To ensure a fair, honest and effective performance evaluation, evaluate with the utmost care and thought, evaluate on the basis of the essential duties and responsibilities of the position, disregard general impressions, and do not allow personal feelings to govern your ranking.

#### **PERFORMANCE & BEHAVIORAL COMPENTENCIES**

INSTRUCTIONS: Employee and Supervisor evaluate performance by placing the most appropriate rating number in the appropriate space below. The comments sections should be used to: support performance ratings, indicate problem areas and provide guidance to employees on how to improve performance. Comments MUST be provided for exceptional, improvement necessary and unsatisfactory ratings, and are highly recommended for all other ratings. Comments should be relevant and job related.

1. <u>Job Knowledge/Skills:</u> Measures employee's demonstrated job relevant knowledge and essential skills, such as work practices, policies, procedures, resources, laws, customer service, and technical information, as well as the relationship of work to the university's mission. Also measured are the employee's self-improvement efforts to enhance skills and knowledge and to stay current with changes impacting the job.

EXCEPTIONAL	EXCEEDS EXPECTATION	MEETS EXPECTATIONS	IMPROVEMENT NECESSARY	UNSATISFACTORY
5	4	3	2	1
Possesses superior job skills and knowledge; effectively applies them to work assignments.     Willingly mentors staff; shares knowledge.     Seeks/applies innovative and relevant techniques	Work reflects thorough and current knowledge/ skill of job and impact on university activities/ related resources.     Uses opportunities to expand knowledge/skills, sharing information with staff.	Work reflects adequate knowledge/skills for job.     Has some knowledge of related work.     Stays current with major changes impacting on knowledge or skill. Accepts change.	Often demonstrates a lack of basic or sufficient job knowledge/skills to perform routine functions of the job. Occasionally is resistant to changing knowledge and/or skill requirements or processes, including opportunities for knowledge/skill enhancement.	Consistently demonstrates a lack of basic job knowledge and/or skills to perform job. Rarely takes advantage of available skill enhancement or training opportunities. Often is resistant to changing requirements.

Employee Rating	Supervisor Rating	Comments:

2. <u>Work Results:</u> Measures employee's results in meeting established objectives/expectations/standards of quality, quantity, customer service, and timeliness both individually and in a team.

EXCEPTIONAL 5	EXCEEDS EXPECTATION 4	MEETS EXPECTATIONS 3	IMPROVEMENT NECESSARY 2	UNSATISFACTORY 1
Work consistently exceeds expectations of quality, quantity, customer service, and timeliness.	Work frequently     exceeds expectations of     quality, quantity,     customer service, and     timeliness.	Work usually meets     expectations of quality,     quantity, customer service,     and timeliness.	Often has difficulty meeting expected quality, quantity, customer service, and/or timeliness standards.	Consistently fails to meet expected quality, quantity, customer service, and/or timeliness standards.

Employee Rating	Supervisor	Comments:
Kating	Rating	

3. <u>Communications</u>: Measures employee's performance in exchanging information with others in an effective, timely, clear, concise, logical and organized manner. Communications include listening, speaking, and writing, presenting, and sharing of information.

EXCEPTIONAL	EXCEEDS EXPECTATION	MEETS EXPECTATIONS	IMPROVEMENT NECESSARY	UNSATISFACTORY
5	4	3	2	1
<ul> <li>Consistently communicates in clear, effective, timely, concise, and organized manner.</li> <li>Is articulate and persuasive in presenting, soliciting complex or sensitive data.</li> </ul>	Frequently communicates in an effective, timely, clear, concise, and organized manner.     Proficiently organizes and presents difficult facts and ideas orally and In writing,     Seeks/provides feedback.	Usually communicates effectively and exchanges relevant information in a timely manner.     Speaks and writes clearly.     Keeps others informed.     Listens with understanding.	Often fails to communicate effectively or in a timely manner.  Lacks clarity of expression orally or in writing, Is inconsistent in keeping others informed.  At times, fails to listen effectively.	Consistently fails to communicate effectively or timely.     Often does not keep others informed.     Is an ineffective listener and/or frequently interrupts.

Employee	Supervisor	Comments:
Employee Rating	Rating	

4. <u>Initiative/Problem Solving:</u> Measures the extent to which the employee is self-directed, resourceful, and creative in performing job duties individually or in a team. Also measures employee's performance in identifying and resolving problems; followed through on assignments; and initiating or modifying ideas, methods, or procedures to provide improved customer service, business processes, and accomplish duties.

EXCEPTIONAL	EXCEEDS EXPECTATION	MEETS EXPECTATIONS	IMPROVEMENT NECESSARY	UNSATISFACTORY
5	4	3	2	1
Consistently resolves unit/team problems and promotes improvements.     Maximizes resources, innovation/technology to streamline/improve.     Analyzes full dimensions of complex problems.     Requires minimal supervision.	<ul> <li>Prevents/resolves unit/team problems.</li> <li>Suggests innovations to improve operations or streamline procedures.</li> <li>Defines and analyzes complex problems.</li> <li>Develops/implements solutions with moderate supervision.</li> </ul>	Addresses existing and significant potential problems.     Suggests or assists in developing solutions individually or in a team.     Carries through solution implementation with routine supervision or follow-up.	Resolves routine problems. Exhibits little initiative in identifying problems, solutions, or improvements and/or working proactively as part of a team to address issues of concern. Requires more than routine supervision.	Consistently fails to recognize or seek help in resolving routine problems. Demonstrates inability to work individually or in a team. Rarely suggests improvements. Requires frequent reminders and supervision.

Employee Rating	Supervisor	Comments:
Rating	Rating	

5. <u>Interpersonal Relations:</u> Measures employee's development and maintenance of positive and constructive internet/external relationships. Consideration should be given to the employee's demonstrated willingness to function as a team player, give and receive constructive criticism, accept supervision, resolve conflicts, recognize needs and sensitivities of others, and treat others in a fair and equitable manner.

EXCEPTIONAL	EXCEEDS EXPECTATION	MEETS EXPECTATIONS	IMPROVEMENT NECESSARY	UNSATISFACTORY
5	4	3	2	1
<ul> <li>Consistently promotes and maintains a harmonious/productive work environment.</li> <li>Is respected and trusted and often viewed as a role model.</li> </ul>	<ul> <li>Frequently fosters teamwork, cooperation, and positive work relationships.</li> <li>Handles conflict constructively.</li> </ul>	Usually interacts in a cooperative manner. timely manner.     Avoids disruptive behavior. Deals with conflict, frustration appropriately.     Treats others equitably.	Often has difficulty getting alone with others. Allows personal bias to affect job relationships. Requires reminders regarding needs and sensitivities of others.	• Interpersonal relationships are counter-productive to work unit or team functions.

Employee Rating	Supervisor	Comments:
Rating	Rating	

6. Work Habits: Measures employee's performance relative to efficient methods of operation, customer service, proper conduct, speech, ethical behavior, and university and work unit policies and procedures, such as attendance, punctuality, safety, security, proper care and maintenance of assigned equipment, and economical use of supplies.

EXCEPTIONAL	EXCEEDS EXPECTATION	MEETS EXPECTATIONS	IMPROVEMENT	UNSATISFACTORY
5	4	3	NECESSARY	1
			2	
Work reflects maximum innovative use of time and resources to consistently surpass expectations and improve operations.     Serves as role model with regard to work policies and safety	<ul> <li>Frequently plans/ organizes work to timely and effectively accomplish job duties with appropriate use of resources.</li> <li>Suggests/implements improvements and exceeds organizational</li> </ul>	Work is planned to meet routine volume and timeliness and usually fulfills operational and customer service needs.     Adheres to department work policies/safety rules and procedures with few exceptions.	Frequently lacks organization and planning of work and does not adequately use available resources.     Often does not met standards in complying with work policies/safety	Consistently fails to meet expected standards due to lack of effective organization, use of equipment/resources, or inattention to customer service needs.     Resists established work policies/safety rules
standards.	work/safety rules and standards.		rules and/or care of equipment.	and procedure.

Employee	Supervisor	Comments:
Rating	Rating	

7. <u>Customer Service</u>: Measures employee's performance relative to customer service provided to external and/or internal customers that they provide services and information to; honors the University's commitments to providing helpful, courteous, accessible, responsive and knowledgeable customer service to students, employees and the general public.

EXCEPTIONAL	EXCEEDS EXPECTATION	MEETS EXPECTATIONS	IMPROVEMENT NECESSARY	UNSATISFACTORY
<ul> <li>Takes extraordinary</li> </ul>	<ul> <li>Makes self fully available</li> </ul>	<ul> <li>Follows through on</li> </ul>	<ul> <li>Tries to meet customer</li> </ul>	<ul> <li>Consistently fails to</li> </ul>
action to meet customer	by being flexible with time	customer commitments.	needs but has difficulty	follow through on
needs.	and schedule in order to	<ul> <li>Addresses disgruntled</li> </ul>	identifying resources that	customer commitments.
<ul> <li>Maintains positive,</li> </ul>	provide services and	customer problems by	could enhance the	<ul> <li>Customer service lacks</li> </ul>
long-term working	information.	remaining calm and	experience of each	personal attention and
relationship.	<ul> <li>Anticipates customer</li> </ul>	professional; personally	customer.	focus, which results in low
<ul> <li>Consistently exceeds</li> </ul>	needs and responds	follows through to resolve	<ul> <li>Demonstrates a</li> </ul>	levels of customer
customer expectations by	before the situation	issues.	fundamental understating of	satisfaction.
applying a solid	requires action.	<ul> <li>Meets customer needs</li> </ul>	customer needs in his/her	<ul> <li>Handles disgruntled</li> </ul>
understanding of what	<ul> <li>Responds promptly to</li> </ul>	professionally and applies	daily work; occasionally does	customers in a similar
customers need and	customer requests; is easy	good working knowledge	not meet customer	manner or reacts
value.	to reach and work with	of the service and	expectations.	inappropriately.
	during working hours.	information provided.		

Employee Rating	Supervisor Rating	Comments:
		1

8. <u>Accountability:</u> Measures employee's acceptance of full responsibility for self and contribution as a team member; displays honestly and truthfulness; confronts problems quickly; displays a strong commitment to organizational success and inspires others to commit to goals. Demonstrates a commitment to presenting oneself as a credible representative of the University and maintains trust.

EXCEPTIONAL	EXCEEDS EXPECTATION	MEETS EXPECTATIONS	IMPROVEMENT NECESSARY	UNSATISFACTORY
Exceeds commitment to others by frequently delivering work early.     Maintains ethical principles even in the most challenging circumstances.     Presents oneself as a polished professional who exemplifies success and credibility; inspires others to be more professional.	Takes responsibilities seriously and consistently meets expectations for quality, service, and professionalism.     Demonstrates good stewardship of University resources to accomplish goals and tasks.	Follows through and meets personal commitments to others on time.     Presents a calm, competent, and professional image to students, co-workers and the public.	Frequently lacks organization and planning of work and does not adequately use available resources.      Often does not met standards in complying with work policies/safety rules and/or care of equipment.      Often fails to meet commitments to other or delivers on commitments late.	Consistently fails to meet expected standards due to lack of effective organization, use of equipment/ resources, or inattention to customer service needs.     Resists established work policies/safety rules and procedure.     Fails to take ownership personal or team performance.

Employee	Supervisor	Comments:
Rating	Rating	

9. <u>Creativity and Innovation:</u> Measures how the employee applies creative problem-solving skills to his/her work to develop solutions to problems; recognizes and demonstrates the value in taking "smart" risks and learning from mistakes; develops multiple alternatives and understands the feasibility of each; effectively shares and implements ideas.

reasibility of each, effective	reasibility of each, effectively shares and implements ideas.					
EXCEPTIONAL	EXCEEDS EXPECTATION	MEETS EXPECTATIONS	IMPROVEMENT NECESSARY	UNSATISFACTORY		
Champions innovative approaches within the department or across the university. Encourages and accepts new ideas, and motivates others to be proactive, resourceful. Fosters an environment that supports the smooth implementation of new approaches/programs.	Challenges the status quo by continuously reviewing personal work processes and questioning traditional or established processes to make improvements.  Effectively applies existing practices or processes to new work situations to benefit the University.	<ul> <li>Takes appropriate action to address inefficiencies in work processes and establishes improved ways to getting the job done.</li> <li>Integrates input an ideas from different sources to share Information and/or explore opportunities.</li> </ul>	<ul> <li>Accepts the status quo and adheres to conventional methods of working.</li> <li>Fails to generate and implement new and creative approaches.</li> <li>Often fails to meet commitments to other or delivers on commitments late.</li> </ul>	Resists change and often openly discusses his/her unwillingness to adopt new practices. Blocks new ideas from others by being unreceptive, sharing information, and or exploring opportunities.		

Employee Rating	Supervisor Rating	Comments:
Kating	Kating	

10. <u>Supervision/Management:</u> (Required for all supervisors/managers) Measures leadership, judgment, initiative, and achievement of expectations. Effectively manages program/projects, employees, budget, technology, and organizational change to produce positive results. Promotes customer service, diversity, inclusiveness, collaboration, effective communication, and positive labor/management relations. Uses innovation and fulfills administrative requirements.

EXCEPTIONAL	EXCEEDS EXPECTATION	MEETS EXPECTATIONS	IMPROVEMENT NECESSARY	UNSATISFACTORY
Regularly exceeds expectations.     Implements innovative policies, resources, and technology to maximize efficiency and service.     Committed to and promotes excellence; leads by example energizing performance and teamwork.     Uses and encourages creative decisions and solutions.     Acts as positive change agent.	Meets and frequently exceeds expectations.     Improves efficiency and customer service.     Provides staff with innovative and constructive direction, delegation, feedback, mentoring, and recognition.     Adheres to performance management/administrative policies.     Makes sound decisions.     Promotes and maintains teamwork, inclusiveness, respect, and creativity.	Meets most     expectations timely and     effectively.     Maintains acceptable     efficiency and customer     service.     Provides staff     necessary direction,     feedback, development,     and recognition.     Makes decision that     usually reflect sound     judgment.     Usually adheres to     administrative policies.     Encourages innovation,	Often fails to meet expectations timely and effectively.     Efficiency and customer service occasionally falls below standards.     Inadequately directs, trains, monitors, and recognizes staff.     Inadequately fulfills administrative and performance management functions.     Often lacks good judgment in decisions.     Lacks leadership in	Consistently fails to meet expectations timely and effectively.     Delivers unacceptable customer service or operational efficiency.     Disregards or ineffectively provides staff direction, monitoring, and development.     Often ignores performance management or administrative policies.     Is indecisive or lacks
		teamwork, and inclusiveness.	promoting innovation, teamwork, and inclusiveness.	good judgment. • Resists change.

Employee	Supervisor	Comments:
Rating	Rating	

# ITEMS 11-14 FOR DIRECTOR OF ATHLETICS & COACHES ONLY

11. Adhering to Governing Documents: Measures employee's knowledge and interpretation of the rules and regulations of the NCAA (national) and SWAC (conference) governing organizations.

EXCEPT	IONAL	EXCEEDS EXPECTATION	MEETS EXPECTATIONS	IMPROVEMENT NECESSARY	UNSATISFACTORY
Demonstrates     exceptional adherence to     NCAA By-Laws and SWAC     Regulations.		Demonstrates effective adherence to NCAA By- Laws and SWAC Regulations.	Demonstrates average adherence to NCAA By- Laws and SWAC Regulations.	Has difficulty adhering to NCAA By-Laws and SWAC Regulations.	Demonstrates poor adherence to NCAA By- Laws and SWAC Regulations.
Employee Rating	Supervisor Rating	Comments:			
12. Institution	l nal Rules/Ethic	al Behavior: Measures how the	ne employee adheres to estab	olished institutional rules, policie	s and procedures as well as
ethical standa EXCEPT	irds of behavio	exceeds expectation	MEETS EXPECTATIONS	IMPROVEMENT NECESSARY	UNSATISFACTORY
Demonstrates     exceptional adherence to     established institutional     rules, policies and     procedures as well as     ethical standards of     behavior.		Demonstrates effective adherence to established institutional rules, policies and procedures as well as ethical standards of behavior.	Demonstrates average adherence to established institutional rules, policies and procedures as well as ethical standards of behavior.	Has difficulty adhering to established institutional rules, policies and procedures as well as ethical standards of behavior.	Demonstrates poor adherence to established institutional rules, policie and procedures as well as ethical standards of behavior.
Employee	Supervisor	Comments:			
Rating	Rating				
13. <u>Student-A</u>	thlete Acaden	nic Progress: Measures how t	he employee ensures the satis	sfactory academic performance	of student-athletes.
EXCEPT	IONAL	EXCEEDS EXPECTATION	MEETS EXPECTATIONS	IMPROVEMENT NECESSARY	UNSATISFACTORY
• Exceptional success in this student-athle satisfactory ac performance.	s area; all tes have cademic	Effective level of success in this area; majority of student- athletes have satisfactory academic performance.	Average level of success in this area; at least half of student-athletes have satisfactory academic performance.	Below average level of success in this area; less than half of student-athletes have satisfactory academic performance.	Poor level of success in this area; very few student-athletes have satisfactory academic performance.
Employee	Supervisor	Comments:	ı	1	<u> </u>
Rating	Rating				

# 14. <u>Title IX Compliance:</u> Measures how the employee ensures the satisfactory compliance with Title IX regulations.

EXCEPTIONAL	EXCEEDS EXPECTATION	MEETS EXPECTATIONS	IMPROVEMENT NECESSARY	UNSATISFACTORY
Exceptional skills in establishing and ensuring a safe environment for student-athletes which includes providing education about and methods for discussing and resolving sexual harassment or discrimination.	Effective skills in establishing and ensuring a safe environment for student-athletes which includes providing education about and methods for discussing and resolving sexual harassment or discrimination.	Average skills in establishing and ensuring a safe environment for student-athletes which includes providing education about and methods for discussing and resolving sexual harassment or discrimination.	Below average skills in establishing and ensuring a safe environment for student-athletes which includes providing education about and methods for discussing and resolving sexual harassment or discrimination.	Poor skills in establishing and ensuring a safe environment for student-athletes which includes providing education about and methods for discussing and resolving sexual harassment or discrimination.

Employee Rating	Supervisor Rating	Comments:

STRATEGIC PLAN - GOALS AND OBJE	CTIVES
INSTRUCTIONS: EMPLOYEE – Use the University's current strategic plan and define goals	
performance indicators. SUPERVISOR – Evaluate the employee's performance by checki	ng the most appropriate rating.
1. Goal and Objective	Performance Indicator
dour and objective	Terrormance mateuror
Achieved Goal In progress Not A	chieved
2	
2. Goal and Objective	Performance Indicator
God and Objective	T errormance mateuror
Achieved Goal In progress Not A	chieved
Achieved Goal In progress Not A	cilieved
3.	,
Goal and Objective	Performance Indicator
Achieved Goal In progress Not A	chiqued
Achieved Goal III progress Not A	cilieved
4.	,
Goal and Objective	Performance Indicator
Achieved Goal In progress Not A	chieved
5.	
Goal and Objective	Performance Indicator

Achieved Goal In progress Not Achieved

# OVERALL RATING - SUPERVISOR ONLY

INSTRUCTIONS: Provide an overall rating based on the rating of the individual factors, adherence to significant performance standards, and
accomplishments of essential functions. This rating provides an overall impression of job performance that is supported by the performance
competencies, not necessarily an average of those ratings. Thus, each factor need not be equal weight but comments should justify significant
differences impacting on the overall rating.

	e overall rating.			
EXCEPTIONAL	EXCEEDS EXPECTATION	MEETS EXPECTATIONS	IMPROVEMENT NECESSARY	UNSATISFACTORY
• Employee consistently and significantly exceed to expectations and standards and demonstrates a high degree of initiative, customer service, and quality of work.	• Employee meets and frequently exceeds job expectations and standards and demonstrates a high degree of initiative, customer service, and quality of work.	Employee meets the expectations and standards of the employee's job in a fully adequate way.	Employee meets many of the expectations of the job in a satisfactory manner but often fails to adequately meet some of the expectations or standards. Improvement is required.	Employee fails to meet many job expectations and standards.  Performance deficiencies must be corrected.
Supervisor Rating	Comments:			
		PROFESSIONAL DEVELOPME	ENT	
Comments:	skills and to identify potential  Goal and Objective		Performano	ce Indicator
	Achie	eved Goal In progress Not	t Achieved	
Goal and Objective		Performance Indicator		
	Achi	eved Goal In progress Noi	t Achieved	
		eved doar in progress No		and a disaster.
	Goal and Objective		Performand	LE MUICALUT
	Achie	eved Goal In progress Not	t Achieved	
			Do of a market	
	Goal and Objective		Performanc	ce Indicator

EVALUATOR AND REVIEWER SIG	SNATURES & COMMENTS	
This evaluation is based on my observation and knowledge. It represents my employee and I reviewed signed and dated the current job description that is document.		this
Supervisor Signature	Date	
Next Level Supervisor Signature (if applicable)	 Date	
President or Vice-President Signature	 Date	
Comments:		
EMPLOYEE'S CON	MMFNTS	
I AGREE WITH THIS RATING	I DISAGREE WITH THIS RATING	_
I acknowledge that I have read this evaluation and I have been given an opponecessarily mean that I agree with the evaluation.	ortunity to discuss it with the evaluator; my signature does not	
Employee Comments:		
Employee's Signature:	Date:	